

APPENDIX 1



AUDIT PANEL WORK PLAN 2023/24

Item	Lead	Aug 2023	Sep 2023	Nov 2023	March 2024	Comments
Finance						
Annual Treasury Report / Prudential Indicators	S151	✓				
Treasury Update	S151		✓	✓	✓	
Accounting Policies / Estimates	S151		✓			
Draft Statement of Accounts	S151	✓				2022/23
Audited Statement of Accounts for Approval	S151	✓				2020/21
Annual Report of Exceptions to Contract Procedure Rules	S151/DMO			✓		
CIPFA Financial Management Code / Local Audit Update	S151		✓			Only relevant if updates to guidance
Internal Audit						
Chair of the Committee's Annual Report	HoA	✓				
Internal Audit Annual Report	HoA	✓				
Internal Audit Plan, Charter & Protocol	HoA	✓*			✓	*Refreshed Plan
Internal Audit Progress Report	HoA		✓	✓	✓	
Public Sector Internal Audit Standards external review	HoA				✓	
Quality Assurance & Improvement Programme	HoA				✓	
Counter Fraud Update Report Including Counter Fraud & Corruption /	HoA			✓		

Item	Lead	Aug 2023	Sep 2023	Nov 2023	March 2024	Comments
Whistleblowing / Anti Money Laundering / Prevention of Tax Evasion Policies						
Governance & Performance						
Annual Governance Statement	S151/HoA	✓				*To be presented with the AGS
Code of Corporate Governance Review	S151/HoA	*				
Information Governance Policies e.g. Data Protection, GDPR	HoA			✓		
Annual Investigatory Powers Act Report	DMO			✓		
CIPFA Audit Committee Guidance and Effectiveness	HoA		✓		✓	
Assurance Reports from Other Assurance Providers e.g. OFSTED, CQC etc.						As and when received
Risk Management						
Risk Management Policy / Review	HoA		✓			
Quarterly Risk Management Updates	HoA	✓	✓	✓	✓	
External Audit						
Tameside Council Audit Completion Report 2020/21	EA	✓				
Greater Manchester Pension Fund Audit Completion Report 2020/21	EA	✓				
Tameside Council Audit Strategy Memorandum 2021/22	EA	✓				
Private Meeting with the Internal and External Auditors	EA/HoA	✓			✓	
External Audit Appointment	S151	✓				
Audit Panel Work Programme / Training						
Audit Panel Work Programme		✓	✓	✓	✓	At every meeting
Audit Committee Training – Webinar (Diana Melville, CIPFA)	CIPFA					To be held on 8 August 2023 via webinar.

S151 = Director of Resources / S151, HoA = Head of Assurance, EA = External Auditor (Mazars), DMO = Deputy Monitoring officer